PTO/SB/08A (08-03)

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |                  |  |  |
|------------------------|------------------|--|--|
| Application Number     | 10/765,456       |  |  |
| Filing Date            | 01/26/2004       |  |  |
| First Named Inventor   | KENNELL, John C. |  |  |
| Art Unit               | 1632             |  |  |
| Examiner Name          |                  |  |  |
| Attorney Docket Number | SLU02-010        |  |  |

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (I known)</sup> | Publication Date  MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|------------------------------|--|---|
| DG                    | Α                        | <sup>US-</sup> 6,518,019                                 | 02-11-2003                   | Gerard et al.                                      |   |
| DG                    | В                        | <sup>US-</sup> 4,486,533                                 | 12-04-1984                   | Lambowitz  |   |
| DG                    | С                        | <sup>US-</sup> 6,495,350                                 | 12-17-2002                   | Lee et al.   |   |
|                       |                          | US-  |                              |  |   |
| _                     |                          | US-  |                              |  |   |
|                       |                          | US-  |                              |  |   |
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|                       |                          | US-  |                              |  |   |
|                       |                          | US-  |                              |  |   |
| -                     |                          | US-  |                              |  |   |
|                       |                          | US-  |                              | -  |   |

|                    | FOREIGN PATENT DOCUMENTS |   |                     |  |   |                |
|--------------------|--------------------------|---|---------------------|--|---|----------------|
| Examiner Initials* | Cite<br>No.1             | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |                |
|                    |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T <sup>6</sup> |
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| Ĺ                  |                          |   |                     |  |   |                |

| Examiner  | /David Guzo/ | Date       | 07/20/2006 |
|-----------|--------------|------------|------------|
| Signature | /David Guzo/ | Considered | 07/20/2006 |

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SLU02-010

of

|                    |                          | NON PATENT LITERATURE DOCUMENTS   |                |
|--------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| DG                 | D                        | NCBI Entrez Nucleotide Report; AF005241 Fusarium oxysporum plasmid pFOXC2; 02-04-1999   |                |
| DG                 | Ε                        | NCBI Entrez Nucleotide Report; AF124843 Fusarium oxysporum sp. raphani plasmid pFOXC2; 12-06-1999   |                |
| DG                 | F                        | Kajikawa and Okada, Cell Vol 111, pp. 433-444; 11-01-2002   |                |
| DG                 | G                        | Walther and Kennell, Molecular Cell Vol 4, pp. 229-238; August 1999.  |                |
| DG                 | н                        | NCBI Entrez Nucleotide Report; AF124844 Fusarium oxysporum sp. matthioli plasmid pFOXC3; 12-06-1999   |                |
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|                    |                          |   |                |

| Signature   Considered   0//20/2006 | Examiner Signature | /David Guzo/ | Date<br>Considered | 07/20/2006 |
|-------------------------------------|--------------------|--------------|--------------------|------------|
|-------------------------------------|--------------------|--------------|--------------------|------------|

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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